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**137-055-1140**

**Confidentiality of Records in the Child Support Program**

(1)(a) As used in this rule, “employee” means a person employed by the Department of Justice (DOJ) or a district attorney office that provides Child Support Program (CSP) services;

(b) “Party” has the meaning given in OAR 137-055-1020, or a party’s attorney.

(2) For purposes of this rule, ~~and subject to the limitations set forth in section (3) of this rule, the contents of a “case record” include,~~ **means all information residing in all Child Support Program computer systems, electronic data storage, and paper files, including** but are not limited to:

(a) The names of the obligor, beneficiary and obligee or other payee;

(b) The addresses of the obligor, beneficiary and obligee or other payee;

(c) The contact address and address of service of the obligee, beneficiary or obligor;

(d) The name and address of the obligor’s **or obligee’s** employer;

(e) The social security numbers of the obligor, the obligee and beneficiaries;

(f) The record of all legal and collection actions taken on the case;

(g) The record of all accrual and billings, payments, distribution and disbursement of payments;

(h) The narrative record; and

(i) The contents of any paper file ~~maintained for purposes of establishment and/or enforcement of~~ **relating** to a child support order ~~or for accounting purposes~~ **case**.

**(j) All information extracted from other agencies’ electronic records, as defined in ORS 84.004.**

~~(3) Any data listed in section (2) of this rule or any other data that resides on the Child Support Enforcement Automated System (CSEAS) that is extracted from computer interfaces with other agencies’ computer systems is not considered to be child support information until or unless the data is used for child support purposes. Until such data is used for child support purposes it is not subject to any exceptions to confidentiality and it may not be released to any other person or agency in any circumstance, except as provided in ORS 25.260(5) and as may be provided in other agency rule.~~

~~(4) Child support case related records, files, papers and communications are confidential and may not be disclosed or used~~ **except** for purposes ~~other than those~~ directly connected to the administration of the **Child Support Program**. ~~CSP~~ **except:**

**(4) For purposes of this rule, “purposes directly connected to the administration of the Child Support Program” includes the following:**

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(a) **The disclosure of information necessary to process a Child Support Program legal action.**

(b) Information may be shared as provided in **45 CFR 303.21**, ORS 25.260(5), OAR 137-055-1320, and 137-055-1360, and as may be provided in **or** other agency rule;

(~~b~~c) **The disclosure of** information may be shared for purposes of any **related to an** investigation, prosecution or criminal or civil proceeding conducted in connection with the administration of:

(A) Title IV-D of the Social Security Act, (child support programs in Oregon and other states);

(B) Title IV-A of the Social Security Act, (Temporary Assistance to Needy Families); or

(C) Title XIX of the Social Security Act, (Medicaid programs);

(~~e~~d) Information may be shared as required by state or federal statute or rule;

(~~d~~e) **The disclosure of information to elected federal and state legislators, the Governor, or the county commissioners to address a constituent complaint.**

(A) Elected federal and state legislators and the Governor are considered to be within the chain of oversight of the ~~CSP~~ **Child Support Program**. Information about a child support case may be shared with these elected officials and their staff in response to issues brought by constituents who are parties to the case;

(B) County commissioners exercise **serve** in a constituent representative **capacity** function in county government for county administered programs. District attorney offices that operate child support programs may **their constituents and are entitled to receive information necessary to** respond to constituent issues brought by county commissioners of the same county if the constituent is a party in a case administered by that office. District attorneys **questions or concerns about child support cases received from their constituents. District Attorneys** are DOJ sub-recipients. **Therefore, CSP Child Support Program** Administration may also respond to constituent issues brought by county commissioners on ~~a~~ District ~~a~~ Attorney administered child support cases where the constituent is a party;

(C) Information disclosed under paragraphs (A) and (B) of this subsection is subject to the restrictions in subsections (6)(a) and (b) of this rule;

(~~e~~f) When a party requires the use of an interpreter in communicating with the administrator, information given to such an interpreter is not a violation of any provision of this rule; and **The disclosure of information to a party's interpreter.**

(~~f~~g) **The disclosure of information to** A person who is the executor of the **an** estate or personal representative of a deceased party is entitled to receive any information that the deceased party would have been entitled to receive.

(5)(~~a~~h) The CSP may release **disclosure of** information to a private industry council as

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provided in 42 USC 654a(f)(5).

~~(bA)~~ The information released under **this** subsection ~~(a) of this section~~ may be provided to a private industry council only for the purpose of identifying and contacting noncustodial parents regarding participation of the noncustodial parents in welfare-to-work grants under 42 USC 603(a)(5).

~~(eB)~~ For the purposes of this **subsection**, “private industry council” means, with respect to a service delivery area, the private industry council or local workforce investment board established for the service delivery area pursuant to Title I of the Workforce Investment Act (29 USC 2801, et seq.). “Private industry council” includes workforce centers and one-stop career centers.

**(i) The disclosure of information about a child support case to a party to that case.**

~~(6)(a)~~ Information from a case record may be disclosed to a party in that case outside a legal proceeding, except for the following personal information about the other party:

**(5) Except as specifically required or authorized by statute or rule, when information about a child support case is disclosed in accordance with section (4), personal information about the parties and child must be redacted from documents before release. Information about a party need not be redacted when releasing information to that party. Personal information about the parties and child includes the following:**

~~(Aa)~~ The ~~r~~**R**esidence or mailing address of the other party if that other party is not the state;

~~(Bb)~~ The social security number of the other party;

~~(C)~~ The name, address and telephone number of the other party’s employers;

~~(Dc)~~ The ~~t~~**T**elephone number of the other party;

**(d) Employer’s name, address and telephone number;**

~~(Ee)~~ Financial institution account information of the other party;

~~(Ff)~~ The ~~d~~**D**river’s license number of the other party; and

**(g) Date of birth;**

**(h) Former legal names;**

~~(G)~~ **(i)** Any other information which may identify the location of the minor child or other party, such as ~~d~~**D**ay care provider’s name and address; **and**

**(i) Any other information which may identify the location of the minor child or party.**

~~(b6)~~ Except for personal information described in subsection (a) of this section, information from a case record **Case status and payment history** may be provided to a party via the GSP **Child**

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**Support Program** web page if appropriate personal identifiers, such as social security number, case number or date of birth are required to be provided in order to access such information.

~~(7) Notwithstanding the provisions of subsections (6)(a) and (b) of this rule, a party's personal information may be released to a state agency under the provisions of 45 CFR 303.21.~~

~~(8) Notwithstanding the provisions of subsection (6)(a), an employee may disclose personal information described in paragraphs (6)(a)(A) through (6)(a)(G) to a party, if disclosure of the information is otherwise required by rule or statute.~~

~~(9) Any information from the case record, including any information derived from another agency, that was used for any calculations or determinations relevant to the legal action may be disclosed to a party. Where there is a finding of risk and order for nondisclosure of information pursuant to OAR 137-055-1160, all nondisclosable information must be redacted before documents are released.~~

~~(10) Requestors may be required to pay for the actual costs of staff time and materials to produce copies of case records before documents are released.~~

~~(11)(a) Information from case records may that would normally be disclosed to persons not a party to the a child support case can be disclosed to a non-party who are making contacts with the CSP Child Support Program on behalf of a the party, if: the following conditions are met:~~

~~(A) The person who is not a party to the case provides the social security number of the party for whom they are making the inquiry or the child support case number;~~

~~(B) The person who is not a party to the case making the contact on behalf of the party is the current spouse or domestic partner of the party and residing with the party or a parent or legal guardian of the party; and~~

~~(C) The CSP determines that the person is making case inquiries on behalf of the party and disclosure of such information would normally be made to the party in reply to such an inquiry.~~

~~(b) Disclosure of information is limited to the specific inquiries made on behalf of the party and is subject to the restrictions in subsections (6)(a) and (b) of this rule.~~

~~(12) Except as provided in subsections (11)(a) and (b) of this rule, information from a case record may not be disclosed to a person who is not a party to the case unless:~~

~~(a) The party has granted written consent to release the information to the person; or~~

~~**(b) The person is the current spouse or domestic partner of the party, who is residing with the party, or a parent or legal guardian of the party, and provides the party's Social Security number or child support case number; or**~~

~~(b) The person has power of attorney for the party, the duration and scope of which authorizes release of the requested information from a case record at the time that the person requests such information. The power of attorney remains in effect until a written request to withdraw the~~

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power of attorney is submitted by the party or by the person, unless otherwise noted on the power of attorney.

~~(1310)~~ A child support case account balance is derived from the child support judgment, which is public information, and from the record of payments, which is not. Therefore, the case balance is not public information, is confidential and may not be released to persons not a party except as otherwise provided in this rule.

~~(1411)~~ Information obtained from the Internal Revenue Service and/or the Oregon Department of Revenue is subject to confidentiality rules imposed by those agencies even if those rules are more restrictive than the standards set in this rule, and may not be released for purposes other than those specified by those agencies.

~~(1512)~~ Criminal record information obtained from the Law Enforcement Data System or any other law enforcement source may be used for child support purposes only and may not be disclosed to parties or any other person or agency outside of the ~~GSP~~ **Child Support Program**. Information about the prosecution of child support related crimes initiated by the administrator may be released to parties in the child support case.

~~(1613)~~ Employees with access to computer records or records of any other nature available to them as employees may not access such records that pertain to their own child support case or the child support case of any relative or other person with whom the employee has a personal friendship or business association. No employee may perform casework on their own child support case or the case of any relative or other person with whom the employee has a personal friendship or business association.

~~(1714)~~ When an employee receives information that gives reasonable cause to believe that a child has suffered abuse as defined in ORS 419B.005(1)(a) the employee must make a report to the Department of Human Services as the agency that provides child welfare services and, if appropriate, to a law enforcement agency if abuse is ~~discovered~~ **witnessed** while providing program services.

~~(1815)~~ Employees who are subject to the ~~Disciplinary Rules of the Oregon Code of Professional Responsibility~~ **Oregon Rules of Professional Conduct** must comply with those rules regarding mandatory reporting of child abuse. ~~To the extent that those rules mandate a stricter standard than required by this rule, the Disciplinary Rules also apply.~~

~~(1916)~~ If an employee discloses or uses the contents of any child support records, files, papers or communications in violation of this rule, the employee is subject to progressive discipline, up to and including dismissal from employment.

~~(2017)~~ To ensure knowledge of the requirements of this rule, employees with access to computer records, or records of any other nature available to them as employees, are required annually to:

(a) Review this rule and the ~~GSP Director's~~ **Child Support Program's** automated tutorial on confidentiality;

(b) Complete with 100 percent success the ~~GSP Director's~~ **Child Support Program's**

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automated examination on confidentiality; and

(c) ~~Sign a~~ **Complete the** certificate acknowledging confidentiality requirements. The certificate must be in the form prescribed by the ~~CSP Director~~ **Child Support Program**.

~~(2418)~~(a) For DOJ employees, each ~~signed~~ certificate **of completion** must be forwarded to DOJ Human Resources, with a copy kept in the employee's local office drop file **or saved in an electronic format**;

(b) For district attorney employees, each ~~signed~~ certificate **of completion** must be kept in accordance with county personnel practices.

~~(2219)~~ Notwithstanding any other provision of this rule, an employee may release a party's name and address to a local law enforcement agency when necessary to prevent a criminal act that is likely to result in death or substantial bodily harm.

Stat. Auth.: ORS 25.260, 180.345

Stats. Implemented: ORS 25.260, 127.005, 411.320

Effective: ~~January 3, 2012~~